

BLANK

INSTRUCTIONS TO INVESTORS FOR FILLING UP THE APPLICATION FORM

I. GENERAL INSTRUCTIONS

1. Please read the Key Information Memorandum, Scheme Information Document (SID) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing. In the SID your attention is particularly drawn to the risk factors of investing in the Scheme and also the sections "Who can't invest" and "Important note on Anti Money Laundering, KYC & investor protection".
2. Applications from residents of Canada will not be accepted.
3. All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
4. Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
5. Please strike out any section that is not applicable. Correction/Cancellation on any of the mandatory information should be countersigned by the investor.
6. No receipt will be issued for the application money. The Customer Service Centers will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application.
7. Investors are advised to retain the acknowledgement slip signed/stamped by the collection centre where they submit the application.
8. Any detail of the investor in his DP account will override the detail mentioned in this application form in case of mismatch between both.

II. APPLICANT INFORMATION

1. Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Demat Account or as it appears in the incorporation document as the case may be.
2. Name of the guardian along with relationship must be mentioned and it should same as registered with the DP account, if the investments are being made on behalf of a minor. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Joint holding is not allowed, if the first applicant is minor. In case of investment in the name of a minor, the registered guardian in the bank account of the minor should be the same guardian as registered in the DP account (Parent/Court Appointed). This will ensure seamless payment of redemption/dividend amount to the minor's account.
3. Name of the Contact Person, email and Telephone No. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
4. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H.U.F., the Karta should sign on behalf of the H.U.F.
5. The designated Investor Service Center/ Collection Center will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the Application. No separate receipt will be issued for the application money.
6. Please fill in all the fields to prevent rejection of your Application Form. The application complete in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center/Collection Center. Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected
7. Investors must write the Application Name and Scheme on the reverse of the cheques accompanying the Application Form.
8. Investment through constituted Attorney should necessarily be signed by the constituted Power of Attorney holder.
9. Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly.
10. In the event the application has more than one investor, the default option for holding would be considered as mentioned in Demat Account. However, in all such cases, communications, proceeds of all dividend/redemption will be paid to the first named holder.
11. Applicants should indicate their status by ticking the appropriate check-box as per DP records.
12. The mode of holding registered with Depository Participant will be applicable to the Units of the Scheme.
13. If the name of the Scheme is different on the Cheque/Demand Draft submitted along with this application, the AMC will consider this under Edelweiss Nifty Bank ETF.

III. EMAIL COMMUNICATION

Account Statements/ Newsletters/ Annual Reports/ Other statutory information (as permitted under SEBI (Mutual Funds) Regulations, 1996) will be sent to each Unit holder by e-mail. Investors are requested to provide their e-mail address for the same and this will also help us resolve your queries more promptly. Unitholders who have provided email id will be sent all communications/reports as mentioned above by email only and no physical communications will be sent. Any change in the e-mail address should be communicated to nearest designated Investor Services. EMF/Registrars are not responsible for e-mail not reaching the investor and for all consequences thereof. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the AMC will arrange for the same through physical mode on receipt of request for the same. It is deemed that the Unitholder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

IV. BANK ACCOUNT DETAILS

Bank Account Details registered with Depository Participant will be considered for Refund/Redemption/Dividend payment. The AMC will make payments through NEFT/RTGS where complete account details are available and valid. In case of any rejection, payment will be made through Cheque/DD. In case of invalid DP ID mentioned in the application form, the refund may be processed to bank account mentioned in the

application form/source bank account from where the cheque was issued. Any change of bank mandate request should be submitted to the Depository Participant.

V. PAN DETAILS

It is mandatory for all investors to quote their Permanent Account Number (PAN), while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission; however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors.

VI. INVESTMENT DETAILS

Investors should indicate the Option for which the application is made. In case Investors wish to opt for both the Options, separate Application form will have to be filled. In case applications are received where option for investment is not selected the same will get rejected as prescribed in SID.

If the scheme name on the application form and on the payment instrument are different, the application will be processed and units allotted at applicable NAV of the scheme mentioned in the application / transaction slip duly signed by investor(s).

VII. MODE OF PAYMENT

1. As per AMFI best practice guidelines on 'Risk mitigation process against third party cheques in mutual fund subscriptions', Edelweiss Mutual Fund shall not accept applications for subscriptions with third party payment instruments with effect from November 15, 2010. For further information please refer SAI.
2. Investors may make payment by cheque payable locally in the city where the application form is submitted at AMC/KFin Tech ISC's or electronic mode such as RTGS/NEFT directly to Mutual Fund Collection account.
3. The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
4. Payment through Stock invest, outstation cheques and cash will not be accepted.
5. The cheque / demand draft should be crossed. Cheque should be in favor 'Edelweiss BSE Capital Markets & Insurance ETF' and Account Payee Only.
6. Returned cheques will not be presented again for collection and the accompanying application will be rejected.
7. Single cheque for investments in multiple Schemes and multiple cheques for investments in Single Scheme will not be accepted.
8. In case of investment through electronic mode (RTGS/ Transfer letter), you are requested to contact the nearest AMC/KFin Tech ISC for the Bank Account Number to which the purchase/additional purchase amount is to be credited.
9. NRI / FII's
Repatriation basis: - Payments by NRIs/FIIs may be made by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centers are located.
Non-Repatriation basis:- NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.
10. In case of payment through electronic mode (RTGS/NEFT or Transfer Letter), need to provide the bank acknowledgement copy along with purchase application.

VIII. NOMINATION DETAILS

The nomination details registered by the Investor(s) with its Depository Participant will be applicable for the investment in the Units of the Scheme.

IX. PREVENTION OF MONEY LAUNDERING AND KNOW YOUR CUSTOMER (KYC)

With effect from 1st January, 2011, KYC (Know Your Customer) norms are mandatory for ALL investors for making investments in Mutual Funds, irrespective of the amount of investment.

Applications are liable to be rejected without any intimation to the applicants, if KYC requirement are not complied with/filed by all the applicants with the Depository Participant.

X. Employee Unique Identification Number (EUIIN):

SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of Mutual Fund products to quote the EUIIN obtained by him/her from AMFI in the Application Form. EUIIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing Mutual Fund products are also required to obtain and quote EUIIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIIN is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUIIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIIN of the Sales Person (if any) in the EUIIN space.

XI. BENEFICIAL OWNERSHIP DETAILS:

Under the Prevention of Money Laundering Act, 2005 ("PMLA"), all intermediaries including mutual funds are required to obtain sufficient information from their clients in order to identify and verify the persons who beneficially own or control the account. SEBI circular dated January 24, 2013 on identification of Beneficial Ownership has prescribed a uniform approach to be followed for determination of beneficial owners. A 'Beneficial owner' is defined as a natural person/s who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, which includes persons who exercise ultimate effective control over a legal person or arrangement.

All categories of investors except individuals, company listed on a stock exchange or majority owned subsidiary of such company, are requested to provide details about beneficial ownership to the Depository Participant where the demat account is held. The Fund reserves the right to reject applications/restrict further investments or seek

INSTRUCTIONS TO INVESTORS FOR FILLING UP THE APPLICATION FORM

additional information from investors who have not provided the requisite information on beneficial ownership. In the event of change in beneficial ownership, investors are requested to immediately update the details with Depository Participant.

XII. SIGNATURES:

The signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Applications on behalf of minors should be signed by their Guardian. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Applications by minors should be signed by their guardians. In case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.

If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted, which will be returned after verification. If the PoA is not submitted with the application, the Application Form will be rejected. The POA should contain the signature of the investor (POA Donor) and the POA holder.

In case of corporates or any non-individual investors, a list of authorised signatories should be submitted along with Application form or in case of any change in the authorised signatory list, the AMC / Registrar must be notified within 7 days.

In case of application under POA or by a Non-Individual (i.e. Company, trust, society, partnership firm etc.) the relevant POA or the resolution should specifically provide for/authorize the POA holder/ authorized signatory to make application/ invest moneys on behalf of the investor.

XIII. CONTINUOUS OFFER:

On Stock Exchanges: Investors can buy/sell units of the Scheme on a continuous basis in the normal market segment of National Stock Exchange of India Limited (NSE) / BSE Limited, or any other stock exchange where the Scheme will be listed, during the trading

hours like any other publicly traded stock at prices which are quoted on the stock exchanges in round lot of 1 unit and in multiples thereof.

Directly with the Mutual Fund: Authorised Participant(s)/ Investor(s) can subscribe/redeem the units of the Scheme directly with the Mutual Fund only in creation unit size and in multiples thereof. The subscription & redemption of units would be based on the portfolio deposit & cash component as defined by the fund for that respective business day. The Fund may allow cash purchases/ cash redemption of the units of the Scheme or by depositing basket of securities comprising the underlying index in Creation Unit Size by Authorised Participant(s)/ Investor(s).

Purchase/redemption request shall be made by such investors to the Fund whereupon the Fund shall arrange to buy/sell the underlying portfolio of securities on behalf of the investor. In case of Bonds / Securities bought and sold by AMC on behalf of the investor, the profit/loss due to buy/sell of Bonds / Securities and transaction handling charges/costs would be borne by investor.

Investors, other than Authorised Participants, can sell units in less than Creation Unit Size of the Scheme directly to the Mutual Fund without any exit load in the following cases:

- if the traded price of the ETF units is at a discount of more than 3% to the NAV for continuous 30 days; or
- if discount of bid price to applicable NAV is more than 3% over a period of 7 consecutive trading days; or
- if no quotes are available on exchange for 3 consecutive trading days; or
- when the total bid size on the exchange(s) is less than half of creation unit size daily, averaged over a period of 7 consecutive trading days.

Under these circumstances, investors, as specified above, can redeem units of the Scheme directly with the fund house without any exit load. In case of redemptions by NRIs, requisite TDS will be deducted from the respective redemption proceeds.

CHECKLIST Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee /Company Secretary /Authorised signatory / Notary Public)

Document	Individual	Companies	Societies	Partnership Firm	Investment through POA	Trust	NRI	FII/FPI	PIO
Client Master List (CML)	✓	✓	✓	✓	✓	✓	✓	✓	✓
Resolution/ Authorization to invest		✓	✓	✓		✓		✓	
List of authorized signatories with specimen signatures		✓	✓	✓	✓	✓			
Memorandum & Articles of Association		✓							
Trust Deed						✓			
Bye-laws			✓						
Partnership Deed				✓					
Overseas Auditor Certificate								✓	
Notarized POA					✓			✓	
Proof of Address									✓
Copy of PAN Card / PEKRN	✓	✓	✓	✓	✓	✓	✓	✓	✓
KYC Compliance	✓	✓	✓	✓	✓	✓	✓	✓	✓
PIO Card									✓
Foreign Inward Remittance Certificate							✓		✓

ASBA APPLICATION FORM

Edelweiss BSE Capital Markets & Insurance ETF

NFO Start Date : 10th December, 2024 | NFO Close Date : 24th December, 2024

Scheme re-opens for continues sales and repurchase not later than: 8th January, 2025

Please read Product Labelling available on the Front Page and instructions before filling this form (all points marked * are mandatory)



EDELWEISS MUTUAL FUND

Sponsor: Edelweiss Financial Services Limited. Trustee Company: Edelweiss Trusteeship Company Limited. Investment Manager: Edelweiss Asset Management Limited. Edelweiss Mutual Fund, Edelweiss House, Off. C.S.T. Road, Kalina, Mumbai-400098, Maharashtra.

1 DISTRIBUTOR INFORMATION			FOR OFFICE USE ONLY			
Name & Distributor Code	Sub-Broker Code	Employee Unique Identification Number (EUIIN)*	SCSB	SCSB IFSC Code	Syndicate Member Stamp & Code	SCSB Branch Sr. No.
	ARN		Name & Code	11 Digit Code	Name & Code	

"Investors should mention the EUIIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'.

All sections to be filled in English and in BLOCK LETTERS.
All columns marked * are mandatory.

Signature(s)			
	Sole/1st Applicant/Guardian/ Authorised Signatory/POA Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory

2 APPLICANT INFORMATION TO BE FILLED IN BLOCK LETTERS*												
Name of Sole/1st Applicant	Mr.	Ms.	M/s.	Others (Please Specify)								
In case of Minor - Parent/Legal Guardian Name of 1st Applicant / Contact person (in case of non individual applicant)												
Relationship with Minor/Designation												
PAN of First Applicant/Guardian												

3 BANK ACCOUNT DETAILS FOR BLOCKING OF FUNDS*												
A/c. Type [please ✓]	<input type="checkbox"/> SB	<input type="checkbox"/> Current	<input type="checkbox"/> NRO	<input type="checkbox"/> NRE	<input type="checkbox"/> FCNR							
Account No					Bank Name							
Branch					Branch Address							
					City					Pin		
IFSC Code					MICR Code							

4 DEMAT ACCOUNT DETAILS*												
Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant.												
National Securities Depository Limited	Depository participant Name				Central Securities Depository Limited	Depository participant Name						
	DP ID No.	I	N									
	Beneficiary Account No.					Target ID No.						
Enclosures (Please tick any one box) : <input type="checkbox"/> Client Master List (CML) <input type="checkbox"/> Transaction cum Holding Statement <input type="checkbox"/> Cancelled Delivery Instruction Slip (DIS)												
The details provided by investors in the application form should be same as the details registered with the DP. In case of any conflict, details registered with the DP will prevail. In case of incorrect details, the application would be rejected and investment amount refunded, within 5 business days from the NFO closure.												

5 INVESTMENT & PAYMENT DETAILS*												
Edelweiss BSE Capital Markets & Insurance ETF												
Subscription Amount (₹)					Amount in words							

6 DECLARATION AND SIGNATURE(S)												
Having read and understood the contents of the Scheme Information Document of the Scheme and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to the Trustee of Edelweiss Mutual Fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. It is expressly understood that I/we have the express authority from our constitutional documents to invest in the units of the Scheme and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the Scheme, then Edelweiss Asset Management Ltd., Investment Manager to the Edelweiss Mutual Fund, has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I/We hereby authorise Edelweiss Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / Edelweiss Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We authorise this Fund to reject the application, revert the units credited/ redeem units created at applicable NAV, restrain me/us from making any further investment in any of the Schemes of the fund, recover/debit my/our folio(s) with the penal interest and take any appropriate action against me/us in case the cheque(s)/payment instrument is/are returned by my/our banker for any reason whatsoever. I/We undertake that these investments are my/our own and acknowledge that AMC reserves the right to call for such other additional information/documents as required to comply with KYC norms. I/We hereby, further agree that the Fund can directly credit all the dividend payouts and redemption amount to my bank details given above. I/We hereby declare that the particulars above are correct.												
The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We further agree that the Fund/AMC can send us all types of SMS relating to the products offered by them.												
Applicable to investors who have not opted for nomination facility. I/We hereby confirm that it is my/our informed decision not to avail the nomination facility offered by Edelweiss Mutual Fund. I/We confirm that I am/We are not US Person(s) as defined under the laws of United States or resident(s) of Canada. In case of change to this status. I/We shall notify the AMC, in which event the AMC reserves the right to redeem my/our investments in the Scheme.												



ASBA ACKNOWLEDGEMENT SLIP

(To be filled in by the investor)

New Fund Offer Open on : 10th December, 2024
New Fund Offer Closes on : 24th December, 2024

Received from Mr./Ms./M/s. _____ an application for allotment
scheme **Edelweiss BSE Capital Markets & Insurance ETF** Plan _____ Option _____

Bank Name					Branch Name							
Total Amount to be blocked ₹ (Figures)												
Total Amount to be blocked ₹ (Words)												

SCSB Receipt Signature Stamp and Date
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Applicable to NRI only: I/We confirm that I am/We are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (✓) (Including amount of Additional Purchase Transaction made in future)

Repatriation Non Repatriation

ASBA AUTHORISATION : 1) I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB/ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme / Edelweiss Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of Edelweiss Mutual Fund. 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application. 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the Edelweiss Mutual Fund or Edelweiss Asset Management Company Limited or Edelweiss Trusteeship Company Limited or SCSBs shall not be liable for losses, if any.

<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px;">Date</td> <td style="padding: 2px;">D</td><td style="padding: 2px;">D</td> <td style="padding: 2px;">M</td><td style="padding: 2px;">M</td> <td style="padding: 2px;">Y</td><td style="padding: 2px;">Y</td> <td style="padding: 2px;">Y</td><td style="padding: 2px;">Y</td> </tr> <tr> <td style="padding: 2px;">Place</td> <td style="padding: 2px;"></td><td style="padding: 2px;"></td> <td style="padding: 2px;"></td><td style="padding: 2px;"></td> <td style="padding: 2px;"></td><td style="padding: 2px;"></td> <td style="padding: 2px;"></td><td style="padding: 2px;"></td> </tr> <tr> <td style="padding: 2px;"></td> <td style="padding: 2px;"></td><td style="padding: 2px;"></td> <td style="padding: 2px;"></td><td style="padding: 2px;"></td> <td style="padding: 2px;"></td><td style="padding: 2px;"></td> <td style="padding: 2px;"></td><td style="padding: 2px;"></td> </tr> </table>	Date	D	D	M	M	Y	Y	Y	Y	Place																		Signature(s) _____ Signature of First Bank Account Holder	_____ Signature of Second Bank Account Holder	_____ Signature of Third Bank Account Holder
Date	D	D	M	M	Y	Y	Y	Y																						
Place																														

ASBA Forms should be submitted only with an authorized branch of designated SCSBs. Copy of acknowledgement receipt of the ASBA form issued by SCSB should be submitted with the NFO form to Edelweiss Mutual Fund or its Registrar, Karvy.

INSTRUCTIONS FOR FILLING ASBA APPLICATION FORM

1. Please visit www.sebi.gov.in/cms/sebi_data/attachdocs/1365051213899.html or www.nseindia.com for list of currently available Self Certified Syndicate Banks (SCSB) offering ASBA facility with their designated branches.
2. The investor shall submit the ASBA Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB. Investors should check with their bank branch to confirm whether the branch is offering ASBA facility.
3. ASBA Application Form will not be accepted by any of the offices of Edelweiss Mutual Fund or its Registrar & Transfer Agent, i.e. KFin Technologies Pvt. Ltd.
4. An ASBA investor shall submit a duly filled up **ASBA Application form, physically or electronically**, to the SCSB with whom the bank account to be blocked, is maintained.
 - In case of **ASBA application in physical mode**, the investor shall submit the ASBA Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
 - In case of **ASBA application in electronic form**, the investor shall submit the ASBA Form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Mutual Fund Scheme authorising SCSB to block the subscription money in a bank account.
5. Investors shall correctly mention the Bank Account number in the ASBA Application Form and ensure that funds equal to the subscription amount are available in the bank account maintained with the SCSB before submitting the same to the designated branch.
6. Upon submission of an ASBA Form with the SCSB, whether in physical or electronic mode, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the Designated Branch to block such amount in the Bank Account.
7. On the basis of an authorisation given by the account holder in the ASBA application, the SCSB shall block the subscription money in the Bank Account specified in the ASBA application. The subscription money shall remain blocked in the Bank Account till allotment of units under the scheme or till rejection of the application or incase of winding up of scheme, as the case may be.
8. If the Bank Account specified in the ASBA application does not have sufficient credit balance to meet the subscription money, the ASBA application shall be rejected by the SCSB.
9. The ASBA Form should not be accompanied by cheque, demand draft or any mode of payment other than authorisation to block subscription amount in the Bank Account.
10. Investor is required to submit a copy of the acknowledgement receipt of the ASBA Form (as submitted with SCSB) along with the NFO Application Form to Edelweiss Mutual Fund or any of its official point of acceptance.
11. Edelweiss Mutual Fund or its Registrar, Karvy shall not be liable for any negligence or mistake committed by the SCSBs.
12. All grievances relating to the ASBA facility may be addressed to the AMC/RTA to the issue, with a copy to the SCSB, giving full details such as name, address of the applicant, subscription amount blocked on application, bank account number and the designated Branch or the collection centre of the SCSB where the ASBA Form was submitted by the Investor.
13. ASBA facility extended to investors shall operate in accordance with the SEBI guidelines in force from time to time.